

Terms and Conditions for Participation

Definitions

For the purposes of these Terms and Conditions:

- "Cetera" refers to Cetera Financial Group, Inc. and its affiliates.
- "Governing Documents" refers to the TPR agreement and any ancillary agreements.
- "Lead" refers to a potential new Recruit submitted to Cetera.
- "Recruiter" "You or Your" means individual that provides recruiting services, such as the introduction of registered representatives and investment adviser representatives to Cetera.
- "Recruit" means a potential prospective registered representatives and investment adviser representatives.
- "Third Party Recruiter Agreement (TPR)" means the agreement entered into by and between Recruiter and Cetera.

Acknowledgement

These are the Terms and Conditions governing the use of this submission process. These Terms and Conditions set out the rights and obligations of all users regarding the use of the service.

Your access to and use of the service is conditioned on Your execution of the TPR agreement and Your acceptance of and compliance with these Terms and Conditions. By accessing or using this submission process, You agree to be bound by these Terms and Conditions. If You disagree with any part of these Terms and Conditions, then You may not utilize the submission process.

Terms

- 1. <u>Eligibility</u>: To participate, Recruiter must have a fully executed TPR agreement in place with Cetera. The TPR agreement must be in full force and effect and must not have been revoked or expired at the time of submission.
- 2. <u>Governance of Leads</u>: All Leads provided and managed will be governed by the duly executed TPR agreement and any related addendums. By participating, Recruiter acknowledges and agrees to abide by these Governing Documents.
- 3. <u>Accuracy of Information</u>: The Recruiter agrees not to make misrepresentations or submit false information. All information provided must be accurate, truthful, and complete to the best of the Recruiters' knowledge.

By participating, You accept and agree to these Terms and Conditions.