

Cetera TPA

Removing Complexity from Retirement Plan Design and Administration

When you need workplace retirement plans that work harder for sponsors and participants alike, our Third Party Administrator (TPA) services make it easy to find customized solutions. Simply rely on us to handle the tasks that are often too complex to manage on your own:

- Retirement plan creation and implementation tailored to your objectives
- Compliance testing to ensure that plans meet IRS/ERISA rules
- Administrative support with distributions, reporting, Form 5500 preparation, and more



Your Strategic Resource

Expertise and Support

- Large, highly accredited team with over 300 years of combined operational experience
- Comprehensive consulting and plan design support
- Meaningful ongoing investment in people, process, and technology to support scalable growth
- Dedicated retirement plan consultants and vendor optimization support
- Comprehensive expertise across plan types, including 401(k), Cash Balance, and Solo(k)

Customized Solutions

- Specialized support for startup, large, and complex plan designs
- Flexible service models ranging from limited support to full 3(16) fiduciary services

Power and Scale

- Expertise in back-office operations, with bookkeeper platforms that drive faster and more effective issue resolution
- Recordkeeping partnerships with packaged solutions designed to deliver greater value
- Stability and long-term support backed by Cetera, providing confidence in an industry experiencing rapid consolidation

■ To discuss your needs, email ceteraTPA@cetera.com.

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